FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

	U.S. APPLICATION NO. (If known, 2007) 13 Unassigned 5207 3 E PRIORITY DATE CLAIMED 12/04/2002 DO/EO/US) the following items and other information:									
INTERNATIONAL APPLICATION NO. PCT/EP2003/012138 10/31/2003 TITLE OF INVENTION HEAT EXCHANGER APPLICANT(S) FOR DO/EO/US Werner HELMS, Markus RECK and Stefan WEISE Applicant herewith submits to the United States Designated/Elected Office (I	E PRIORITY DATE CLAIMED 12/04/2002 DO/EO/US) the following items and other information:									
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Werner HELMS, Markus RECK and Stefan WEISE Applicant herewith submits to the United States Designated/Elected Office (I This is a FIRST submission of items concerning a filing under	•									
Applicant herewith submits to the United States Designated/Elected Office (I This is a FIRST submission of items concerning a filing under	•									
	35 U.S.C. 371.									
2. This is a SECOND or SUBSEQUENT submission of items con	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.									
	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.									
3. This is an express request to begin national examination proceed items (5), (6), (9) and (21) indicated below.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. The US has been elected (Article 31).	The US has been elected (Article 31).									
5. A copy of the International Application as filed (35 U.S.C. 371)	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
is attached hereto (required only if not communicated b	by the International Bureau).									
has been communicated by the International Bureau.	has been communicated by the International Bureau.									
is not required, as the application was filed in the United	is not required, as the application was filed in the United States Receiving Office (RO/US)									
is attached hereto.										
are attached hereto (required only if not transmitted by have been communicated by the International Bureau.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not transmitted by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired.									
8. An English language translation of the amendments to the cla	ims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9., 🛮 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).										
10. An English language translation of the annexes to the Internat 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern other document(s) or information include	ed:									
11. An Information Disclosure Statement under 37 CFR 1.97 and	1.98.									
12. An assignment document for recording. A separate cover she	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. A preliminary amendment.	A preliminary amendment.									
14. 🛛 An Application Data Sheet under 37 CFR 1.76.	An Application Data Sheet under 37 CFR 1.76.									
15. A substitute specification.	A substitute specification.									
16. A power of attorney and/or change of address letter.										
17. A computer-readable form of the sequence listing in accordance	ce with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825									
18. A second copy of the published international application under	35 U.S.C. 154(d)(4).									
19. A second copy of the English language translation of the intern	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20. Other items or information:										

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DT15 Rec'd PCT/PTO 1.0 JAN 2005

LLC APPRICATION	LAIO TIERO	Th. c5577(64)	BEARN I	INTERNATIONAL ARRICATION	NO	LATTORNE	V'C DO	CKET NUMBER		
U.S. APPRICATION NOTIFIED SEES TOTAL S) INTERNATIONAL APPLICATION NO. PCT/EP2003/012138 01690										
21. The following fees are submitted:							T		T	
☐ a) Basic national fee \$300.00							\$	300.00		
					\$	200.00				
□ C) Search fee \$500.00				\$	500.00					
TOTAL OF ABOVE CALCULATIONS = \$1000.00						\$	1000.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding										
sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.										
Total Sheets						+				
10121 011002	thereof (round up to a whole number)									
17 - 100 =					x \$250.00	\$	0.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months							\$	0.00		
from the earliest claimed priority date (37 CFR 1.492(e))										
CLAIMS NUMBER NUMBER EXTRA RATE				\$						
		FILED								
Total Claims		9	- 20 =	0	×\$	50.00	\$	0.00		
Independent	Claims	1	- 3 =	0	×\$	200.00	\$	0.00		
MULTIPLE C	EPENDEN	VT CLAIM(S) (if app	olicable)	+ \$	360.00	\$			
			. , , , , , ,	TOTAL OF ABOV	E CALCU	LATIONS =	\$	1000.00		
☐ Appli	cant claims	s small ent	itv status	. See 37 CFR 1.27. The fe			\$	0.00		
	e are redu		,				*	0.00		
					S	UBTOTAL =	\$	1000.00		
Processing fe	e of 130.0	0 for furnis	shing the	English translation later th	an 30 mo	nths	\$			
from the earli	est claime	d priority d	ate (37 C	FR 1.492(f)).						
				TOTA	AL NATIO	NAL FEE =	\$	1000.00		
Fee for recor	ding the er	nclosed as:	signment	(37 CFR 1.21(h)). The as	sianment	must be	\$	40.00		
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property										
TOTAL FEES ENCLOSED =							\$	1040.00		
							十 一	Amount to be		
							refunded:			
								charged:		
a. 🛛 A	check in	the amou	nt of <u>\$1</u>	.040.00 to cover the abo	ove fees	is enclosed	l.			
b. ☐ PI										
	Please charge my Deposit Account No. <u>19-0741</u> in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed.									
	•				any ada	litional food	امنطسه	h may ba maayim	ad as asadit and	
	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.									
d. 🔲 🛛 Fe	es are to	be chard	e to a c	redit card. WARNING:	Informati	on on this fo	om m	nav become publ	ic. Credit card	
				included on this form.						
20	38.									
	ere an a	ppropria	te time	limit under 37 CFR 1.4	494 or 1	.495 has n	ot be	en met, a petitio	on to revive (37	
CFR 1.137(a) or	(b)) mus	t be filed	and gr	anted to restore the a	pplicatio	n to pendi	ng st	atus.		
SEND ALL CORRESPONDENCE TO:			IM	schwad						
				SIGNATÚRE						
Foley & Lardner LLP Richard					Richard	L. Schwaab				
Customer Number: 22428 NAME						•				
_25,479										
					RATIO	RATION NUMBER				